



Title of Report:	Quarter 3 Internal Audit Plan 2020/21 Progress Report
Committee Report Submitted To:	Audit Committee
Date of Meeting:	9th December 2020
For Decision or For Information	For Information

Linkage to Council Strategy (2019-23)	
Strategic Theme	Innovation and Transformation
Outcome	Improve Service Delivery
Lead Officer	Director of Corporate Services/Audit Risk and Governance Officer

Budgetary Considerations	
Cost of Proposal	Nil
Included in Current Year Estimates	YES/NO
Capital/Revenue	Revenue
Code	
Staffing Costs	Internal Auditor

Screening Requirements	Required for new or revised Policies, Plans, Strategies or Service Delivery Proposals. N/A		
Section 75 Screening	Screening Completed:	Yes/No	Date:
	EQIA Required and Completed:	Yes/No	Date:
Rural Needs Assessment (RNA)	Screening Completed	Yes/No	Date:
	RNA Required and Completed:	Yes/No	Date:
Data Protection Impact Assessment (DPIA)	Screening Completed:	Yes/No	Date:

1.1 Background

This document sets out the programme for the provision of Internal Audit Services to Causeway Coast and Glens Borough Council for Quarter 3 2020/21 and is based on the audit needs assessment as detailed in the Internal Audit Strategy 2019-2023, revised to take account of the Covid-19 pandemic. The purpose of this report is to provide an update in terms of proposed internal audit plan for the year 2020/2021.

1.2 Quarter 1- 3 Internal Audit Plan 2020/21

Following Covid-19 it was agreed at the June Audit Committee that the audit plan would be considered on a quarterly basis. With the pandemic resulting in the closure of facilities and what actually remained operational throughout the pandemic, it was agreed that internal audit would audit the additional areas of ICT and payroll. No changes are proposed for quarter 3. The table below shows the timetable of audit completed to date.

Audit Area	Auditor	Timing	Progress
Finance Directorate			
Use of Corporate Credit Card	In-house	November	Ongoing
Treasury Management	Moore NI	Quarter 3	Deferred –
Corporate Directorate			
Community Planning	Moore NI	August	Complete
Use of Agency Staff	Moore NI	November	Complete
Time Recording & Overtime	TBC	Quarter 3	
Environmental Services Directorate			
Business Continuity & Emergency Planning	In-house	February	Ongoing
Environment Health	Moore NI	Quarter 3	
Corporate Health & Safety	Moore NI	December	Complete

Fleet Management	In-house	February	
Planning Directorate			
Regeneration & Business Development	In-house	TBC	Deferred to quarter 4
Leisure & Development Directorate			
Leisure facilities	Moore NI	TBC	
Chief Executive Directorate			
Performance Improvement	Moore NI	TBC	18/19 complete 19/20 audit ongoing

It is envisaged that in quarter 4 the audit plan may need revised to consider audits that require follow-up or immediate review.

1.3 Recommendation

This report is for the information purposes only for the members to consider and does not require approval.